

PRE-GRANT INQUIRY

Answers to all questions must be written or typed in English. Translations into English must be provided for all attached documents.

The information and documentation requested below will help determine whether the Romanian United Fund (“RUF”) can, under the laws of the United States, make a grant and/or charitable distribution to your organization. Attach additional sheets in answer to questions where sufficient space is not provided.

IDENTIFICATION

1. Please provide the exact legal name of your organization, the organization's name in English, the organization's name in the language of origin, and any acronym or other names used to identify the organization.
2. Please provide the complete address for the organization's principal office.
3. Please list any state, province, or other jurisdiction, in addition to the location listed above, in which the organization maintains a physical presence, whether permanent or temporary. If the organization maintains an office in any additional jurisdictions, please provide the address.
4. Please provide the name, title and telephone number of the official contact person for your organization.
5. Please provide the name, title, and contact information for all of your banking institutions.

ORGANIZATION

1. Is your organization organized as a corporation under the laws of your country? Yes / No

If not, what is the form of your organization?

Please attach:

- ☐ A copy of the documents under which your organization was created (examples include a charter, constitution, decree, legislative act, or similar document, including any amendments thereto);
- ☐ If not stated in the foregoing document, the document which states the purpose for

- which your organization was organized; and
- ☐ Your organization's bylaws or similar governing documents.

2. Is your organization exempt from taxation in your country? Yes / No

If yes, *please attach* a copy of the legislative act or statute, or the sections thereof, granting exemption to this type of organization and appropriate documentation (preferably a written statement from the appropriate taxing authority) establishing that this particular organization is tax exempt under the applicable provisions of such act or statute, and the basis for such exemption.

3. Please state your organization's Mission Statement.

4. Is your organization controlled by, operated in connection with, affiliated with, or otherwise subject to the oversight of any other organization? In particular, please indicate if the organization is affiliated with any religious, educational, charitable, or governmental institution. If yes, please list the names of such organizations and describe, in detail, the nature of such control or connection. Please provide any website (URL) addresses for the organization and any subsidiaries or affiliates.

5. Does your organization carry on propaganda or otherwise attempt to influence legislation?
Yes / No

If yes, please state the nature and extent of such activities.

6. Does your organization participate in or intervene in (including the publishing or distribution of statements), political campaigns on behalf of or in opposition to any candidate for public office? Yes / No

If yes, please state the nature and extent of such activities.

7. Does any part of your organization's assets or income inure to the benefit of private individuals (other than as reasonable compensation for services rendered, such as reimbursement for expenses) or are any plans underway for such inurement? Yes / No

8. If in any year the organization's receipts exceed its expenditures, is your organization required to apply such excess receipts in furtherance of its stated purpose?

9. Upon dissolution, are the assets of your organization required to be distributed for charitable, educational, scientific, religious or literary purposes? Yes / No

OPERATIONS

1. Briefly describe your organization's history, including an overview of past projects.
2. Briefly describe your organization's current operations, including any specific projects which are underway.
3. Please list any known financial, legal, operational, security issues or concerns that may be of interest to RUF.
4. How is your organization currently funded? Check all that apply:
 - ☐ International Sources
 - ☐ Local Sources in Your Country
 - ☐ Governmental Sources
 - ☐ Religious Institutions

5. Does your organization solicit funds from the general public? Yes / No

If yes, please state the nature and extent of such activities.

6. To the extent possible, please provide a list of individuals, entities, or organizations to which your organization is currently providing or proposes to provide funding, services, or material support.

GRANT PROJECT

1. Please describe in detail how your organization will use the proposed grants or distributions provided by RUF. Please include a budget or other document with financial projections.
2. Indicate whether any grants of financial assistance or specific property will be made to individuals, and, if so, what procedures will be used to select recipients and what records will be kept of the grants.

[SIGNATURE FOLLOWS ON A SEPARATE PAGE]

Date: _____

Name of Organization: _____

I affirm under the penalties of perjury that I am authorized to sign this form on behalf of the above organization, and I have examined the foregoing statements and the documents attached hereto and to the best of my knowledge they are true, correct and complete.

By: _____

(Print Name) _____

Title: _____

**ANTITERRORISM CERTIFICATION OF
&FOREIGN ENTITY&**

I, the undersigned, being and constituting an officer of &FOREIGN ENTITY& (“the Corporation”), certify that the Corporation is in compliance with all laws, statutes, Executive Orders, and regulations restricting and/or prohibiting U.S. persons from dealing with any individuals, entities, groups, or countries subject to any sanctions issued by the United States Department of the Treasury’s Office of Foreign Assets Control (hereby referred to as “OFAC”). I have reviewed the Specially Designated Nationals List (the “List”), located at <http://www.treas.gov/ofac> and certify that the Corporation, as well as its partners, regranttees, subsidiaries, or affiliated organizations are not listed on the List. Attached to this Certification is a printed copy of the research performed on OFAC’s website to confirm the same. On behalf of the Corporation's governing board, I further certify that the Corporation will continue to take reasonable steps to ensure that the Corporation, its staff, and other volunteers have no dealings whatsoever with known terrorists or terrorist organizations. Should any change in circumstances pertaining to this certification occur at any time, the Corporation will notify OFAC immediately.

Date: _____

By: _____

Print Name: _____